



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 2  
(REV. 7-80)**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 5407/0J061      SERIAL NO: 09/198,427  
APPLICANT: Walter Brieden, et al.      FILING DATE: 4/12/98  
CONFIRMATION NO: 2487

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>FILING DATE</u>	<u>CLASS</u>	<u>SUBCLASS</u>
MM ↓	1. 5,034,394	7/23/91	Daluge	12/22/89	514	261
	2. 5,294,423	3/15/94	Lorthioir et al.	4/24/92	423	286
	3. 5,200,527	4/6/93	Griffiths et al.	4/1/92	548	452

**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES      NO</u>
MM ↓	4. 512,895-A	4/29/92	EP	C01B	6/21	X (Abstract)
	<del>5. 947,702-A</del>	<del>8/25/56</del>	<del>DE</del>	<del>12i</del>	<del>G01b</del>	<del>X</del>
	6. 93/17020	9/2/93	WO	C07D	473/00	X
	7. 508,352-B1	4/6/92	EP	C07D	221/22	X (Abstract)
	8. 95/21161	8/10/95	WO	C07D	239/48	X

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

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- 10. Taylor S. J. et al., Tetrahedron: Asymmetry Vol. 4, No. 6, 1993, 1117-1128.
- 11. Park K.H. & Rappoport H., J. Org. Chem. 1994, 59, 394 - 399.
- 12. Martinez et al. (J. Org. Chem. 1996, 61, 7963 - 7966).
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SHEET 2 OF 2  
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- \*15. H.C. Brown et al., Inorg. Chem. 20, 1981, 4456 - 4457.  
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17. K. Faber, Biotransformation in Organic Chemistry, 2<sup>nd</sup> ed., Berlin 1995, 270 - 305.

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DATE CONSIDERED:

**\*EXAMINER:**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.